

# Audit Attestation for

## Disig, a.s.

**Reference: 1705/21**

Bratislava, 2021-06-22

To whom it may concern,

This is to confirm that QSCert spol. s r.o. has audited the CAs of the Disig, a.s. without critical findings.

This present Audit Attestation Letter is registered under the unique identifier number "1705/21" and consists of 8 pages.

Kindly find here below the details accordingly.

In case of any question, please contact:

QSCert spol. s r.o.  
E.P.Voljanského 1  
960 01 Zvolen, Slovakia  
E-Mail: qscert@qscert.sk  
Phone: +421 455 400 718

With best regards,

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*Signature\_1*  
Title

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*Signature\_2*  
title

<p>Identification of the conformity assessment body (CAB) and assessment organization:</p>	<ul style="list-style-type: none"> <li>• QSCert spol. s r.o., E.P.Voljanského 1, Zvolen, Slovakia registered under 36040631 (national business register)</li> <li>• Accredited by Slovak National Accreditation Service (SNAS) under registration P-049:  <a href="https://ais.snas.sk/ReportServer?%2FWebReports%2FCertificateDetail&amp;rs%3ACommand=Render&amp;rc%3AToolbar=false&amp;CertificateID=568&amp;LanguageID=s&amp;URI=https%3A%2F%2Fais.snas.sk">https://ais.snas.sk/ReportServer?%2FWebReports%2FCertificateDetail&amp;rs%3ACommand=Render&amp;rc%3AToolbar=false&amp;CertificateID=568&amp;LanguageID=s&amp;URI=https%3A%2F%2Fais.snas.sk</a> for the certification of trust services according to “EN ISO/IEC 17065:2012” and “ETSI EN 319 403 V2.2.2 (2015-08)” and/or “ETSI EN 319 403-1 V2.3.1 (2020-06)” respectively.</li> <li>• Insurance Carrier (BRG section 8.2):                      KOOOPERATIVA poisťovňa, a.s.</li> <li>• Third-party affiliate audit firms involved in the audit:                      none.</li> </ul>
<p>Identification and qualification of the audit team:</p>	<ul style="list-style-type: none"> <li>• Number of team members: 1</li> <li>• Academic qualifications of team members:                      All team members have formal academic qualifications or professional training or extensive experience indicating general capability to carry out audits based on the knowledge given below and at least four years full time practical workplace experience in information technology, of which at least two years have been in a role or function relating to relevant trust services, public key infrastructure, information security including risk assessment/management, network security and physical security.</li> <li>• Additional competences of team members:                      All team members have knowledge of                     <ol style="list-style-type: none"> <li>1) audit principles, practices and techniques in the field of CA/TSP audits gained in a training course of at least five days;</li> <li>2) the issues related to various areas of trust services, public key infrastructure, information security including risk assessment/management, network security and physical security;</li> <li>3) the applicable standards, publicly available specifications and regulatory requirements for CA/TSPs and other relevant publicly available specifications including standards for IT product evaluation; and</li> <li>4) the Conformity Assessment Body's processes.</li> </ol>                     Furthermore, all team members have language skills appropriate for all organizational levels within the CA/TSP organization; note-taking, report-writing, presentation, and interviewing skills; and relevant personal attributes: objective, mature, discerning, analytical, persistent and realistic.</li> <li>• Professional training of team members:                      See “Additional competences of team members” above. Apart from that are all team members trained to demonstrate adequate competence in:                     <ol style="list-style-type: none"> <li>a) knowledge of the CA/TSP standards and other relevant publicly available specifications;</li> </ol> </li> </ul>

	<ul style="list-style-type: none"> <li>b) understanding functioning of trust services and information security including network security issues;</li> <li>c) understanding of risk assessment and risk management from the business perspective;</li> <li>d) technical knowledge of the activity to be audited;</li> <li>e) general knowledge of regulatory requirements relevant to TSPs; and</li> <li>f) knowledge of security policies and controls.</li> <li>• Types of professional experience and practical audit experience: The CAB ensures, that its personnel performing audits maintains competence on the basis of appropriate education, training or experience; that all relevant experience is current and prior to assuming responsibility for performing as an auditor, the candidate has gained experience in the entire process of CA/TSP auditing. This experience shall have been gained by participating under supervision of lead auditors in a minimum of four TSP audits for a total of at least 20 days, including documentation review, on-site audit and audit reporting.</li> <li>• Additional qualification and experience Lead Auditor: On top of what is required for team members (see above), the Lead Auditor             <ul style="list-style-type: none"> <li>a) has acted as auditor in at least three complete TSP audits;</li> <li>b) has adequate knowledge and attributes to manage the audit process; and</li> <li>c) has the competence to communicate effectively, both orally and in writing.</li> </ul> </li> <li>• Special skills or qualifications employed throughout audit: none.</li> <li>• Special Credentials, Designations, or Certifications: All members are qualified and registered assessors within the accredited CAB.</li> <li>• Auditors code of conduct incl. independence statement: Code of Conduct as of Annex A, ETSI EN 319 403 or ETSI EN 319 403-1 respectively.</li> </ul>
<p>Identification and qualification of the reviewer performing audit quality management:</p>	<ul style="list-style-type: none"> <li>• Number of Reviewers/Audit Quality Managers involved independent from the audit team: 1</li> <li>• The reviewer fulfils the requirements as described for the Audit Team Members above and has acted as an auditor in at least three complete CA/TSP audits.</li> </ul>

Identification of the trust service provider (TSP):	Disig, a.s., Záhradnícka 151, Bratislava, Slovakia registered under company registration 35 975 946
Audit Period covered for all policies:	2020-05-22 to 2021-05-21
Audit dates:	2021-05-21 to 2021-05-21 (on-site)
Audit Location:	Disig, a.s., Záhradnícka 151, Bratislava, Slovakia

Identification of the audited Root-CA:	CA Disig Root R2	
	Distinguished Name	CN = CA Disig Root R2 O = Disig a.s. C = SK
	SHA-256 fingerprint	E23D4A036D7B70E9F595B1422079D2B91EDFBB1FB651A0633EAA8A9DC5F80703
	Certificate Serial number	0092B888DBB08AC163
	Applied policy	ETSI EN 319 411-1 V1.2.2, LCP, OVCP, NCP ETSI EN 319 411-2 V2.2.2, QCP-n, QCP-l

The audit was performed as full period of time audit at the TSP's location in Bratislava, Slovakia. It took place from 2021-05-21 until 2021-05-21 and covered the period from 2020-05-22 until 2021-05-21. The audit was performed according to the European Standards "ETSI EN 319 411-2, V2.2.2 (2018-04)", "ETSI EN 319 411-1, V1.2.2 (2018-04)" and "ETSI EN 319 401, V2.2.1 (2018-04)" as well as CA Browser Forum "Baseline Requirements, version 1.7.4" considering the requirements of the "ETSI EN 319 403-1 V2.3.1 (2020-06)" and "ETSI TS 119 403-2 V1.2.4 (2020-11)" for the Trust Service Provider Conformity Assessment.

This attestation is based on the template version 2.8 as of 2021-04-21, that was approved for use by ACAB-c.

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The full annual audit was based on the following policy and practice statement documents of the TSP:

1. CP CA Disig, version 5.5 as of 2021-05-20
2. CPS RA CA Disig, version 5.5 as of 2021-05-20

No major or minor non-conformities have been identified during the audit.

This Audit Attestation also covers the following incidents as documented under

- Bug 1670458, TSP Name: Disig, Incident description: Failure to provide a preliminary report within 24 hours.
- [https://bugzilla.mozilla.org/show\\_bug.cgi?id=1670458](https://bugzilla.mozilla.org/show_bug.cgi?id=1670458).

The remediation measures taken by Disig, a.s. as described on Bugzilla (see link above) have been checked by the auditors and properly addressed the incident. The long-term effectiveness of the measures will be rechecked at the next regular audit.

The Sub-CA that have been issued by the aforementioned Root-CA and that have been covered by this audit are listed in table 1 below. The TSP assured that all non-revoked Sub-CA that are technically capable of issuing server or email certificates and that have been issued by this Root-CA are in the scope of regular audits

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Distinguished Name	SHA-256 fingerprint	Applied policy OID	EKU
Complete subject DN	SHA-256 of the certificate	ETSI EN policy that this CA has been assessed with	EKU of the CA or: not defined
C=SK, L=Bratislava, O=Disig a.s., CN=CA Disig R212 Certification Service	C96F24C45113FD91AE2F9E40E106653BFA0FFBCFA07E209524C844E7C8DA4148	ETSI EN 319 411-1 V1.2.2 LCP, OVCP, NCP ETSI EN 319 411-2 V2.2.2, QCP-n, QCP-I	not defined
C=SK, L=Bratislava, O=Disig a.s., CN=CA Disig R213 Certification Service	239FFA86D71033BA255914782057D87E8421AEDD5910B786928B6A1248C3E341	ETSI EN 319 411-1 V1.2.2 LCP, OVCP, NCP ETSI EN 319 411-2 V2.2.2 QCP-n, QCP-I	not defined

**Table 1: Sub-CA's issued by the Root-CA or its Sub-CA's**

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**Modifications record**

<b>Version</b>	<b>Issuing Date</b>	<b>Changes</b>
Version 1	2021-06-22	Initial attestation

**End of the audit attestation letter.**

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